Guidelines for the Creation of the

Internal Quality Assurance Cell (IQAC)

and Submission of Annual Quality Assurance Report (AQAR) in Accredited Institutions
(Revised in October 2013)

राष्ट्रीय मूल्यांकन एवं प्रत्यायन परिषद्

विश्वविद्यालय अनुदान आयोग का स्वायत्त संस्थान

NATIONAL ASSESSMENT AND ACCREDITATION COUNCIL
An Autonomous Institution of the University Grants Commission
P. O. Box. No. 1075, Opp: NLSIU, Nagarbhavi, Bangalore - 560 072 India

VISION

To make quality the defining element of higher education in India through a combination of self and external quality evaluation, promotion and sustenance initiatives.

MISSION

- *To arrange for periodic assessment and accreditation of institutions of higher education or units thereof, or specific academic programmes or projects;*

- *To stimulate the academic environment for promotion of quality of teaching-learning and research in higher education institutions;*

- *To encourage self-evaluation, accountability, autonomy and innovations in higher education;*

- *To undertake quality-related research studies, consultancy and training programmes, and*

- *To collaborate with other stakeholders of higher education for quality evaluation, promotion and sustenance.*

Value Framework

To promote the following core values among the HEIs of the country:

- *Contributing to National Development*
- *Fostering Global Competencies among Students*
- *Inculcating a Value System among Students*
- *Promoting the Use of Technology*
- *Quest for Excellence*

Contents

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Guidelines for the Creation of the
**Internal Quality Assurance Cell (IQAC)
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in Accredited Institutions**

Introduction

In pursuance of its Action Plan for performance evaluation, assessment and accreditation and quality up-gradation of institutions of higher education, the National Assessment and Accreditation Council (NAAC), Bangalore proposes that every accredited institution should establish an Internal Quality Assurance Cell (IQAC) as a post-accreditation quality sustenance measure. Since quality enhancement is a continuous process, the IQAC will become a part of the institution's system and work towards realisation of the goals of quality enhancement and sustenance. The prime task of the IQAC is to develop a system for conscious, consistent and catalytic improvement in the overall performance of institutions. For this, during the post-accreditation period, it will channelize all efforts and measures of the institution towards promoting its holistic academic excellence.

The guidelines provided in the following pages will guide and facilitate the institution in the creation and operation of the Internal Quality Assurance Cell (IQAC). The work of the IQAC is the first step towards internalization and institutionalization of quality enhancement initiatives. Its success depends upon the sense of belongingness and participation it can inculcate in all the constituents of the institution. It will not be yet another hierarchical structure or a record-keeping exercise in the institution. It will be a facilitative and participative voluntary system/unit/organ of the institution. It has the potential to become a vehicle for ushering in quality enhancement by working out planned interventionist strategies to remove deficiencies and enhance quality like the "Quality Circles" in industries.

Objective
The primary aim of IQAC is

- To develop a system for conscious, consistent and catalytic action to improve the academic and administrative performance of the institution.

- To promote measures for institutional functioning towards quality enhancement through internalization of quality culture and institutionalization of best practices.

Strategies
IQAC shall evolve mechanisms and procedures for
   a) Ensuring timely, efficient and progressive performance of academic, administrative and financial tasks;
   b) The relevance and quality of academic and research programmes;
   c) Equitable access to and affordability of academic programmes for various sections of society;

d) Optimization and integration of modern methods of teaching and learning;

e) The credibility of evaluation procedures;

f) Ensuring the adequacy, maintenance and proper allocation of support structure and services;

g) Sharing of research findings and networking with other institutions in India and abroad.

Functions
Some of the functions expected of the IQAC are:

a) Development and application of quality benchmarks/parameters for various academic and administrative activities of the institution;

b) Facilitating the creation of a learner-centric environment conducive to quality education and faculty maturation to adopt the required knowledge and technology for participatory teaching and learning process;

c) Arrangement for feedback response from students, parents and other stakeholders on quality-related institutional processes;

d) Dissemination of information on various quality parameters of higher education;

e) Organization of inter and intra institutional workshops, seminars on quality related themes and promotion of quality circles;

f) Documentation of the various programmes/activities leading to quality improvement;

g) Acting as a nodal agency of the Institution for coordinating quality-related activities, including adoption and dissemination of best practices;

h) Development and maintenance of institutional database through MIS for the purpose of maintaining /enhancing the institutional quality;

i) Development of Quality Culture in the institution;

j) Preparation of the Annual Quality Assurance Report (AQAR) as per guidelines and parameters of NAAC, to be submitted to NAAC.

Benefits
IQAC will facilitate / contribute

a) Ensure heightened level of clarity and focus in institutional functioning towards quality enhancement;

b) Ensure internalization of the quality culture;

b) Ensure enhancement and coordination among various activities of the institution and institutionalize all good practices;

c) Provide a sound basis for decision-making to improve institutional functioning;

d) Act as a dynamic system for quality changes in HEIs;

e) Build an organised methodology of documentation and internal communication.

Composition of the IQAC

IQAC may be constituted in every institution under the Chairmanship of the Head of the institution with heads of important academic and administrative units and a few teachers and a few distinguished educationists and representatives of local management and stakeholders.

The composition of the IQAC may be as follows:

1. Chairperson: Head of the Institution

2. A few senior administrative officers

3. Three to eight teachers

4. One member from the Management

5. One/two nominees from local society, Students and Alumni

6. One/two nominees from Employers /Industrialists/stakeholders

7. One of the senior teachers as the coordinator/Director of the IQAC

The composition of the IQAC will depend on the size and complexity of the institution. It helps the institutions in planning and monitoring. IQAC also gives stakeholders or beneficiaries a cross-sectional participation in the institution's quality enhancement activities. The guidelines given here are only indicative and will help the institutions for quality sustenance activities.

The membership of such nominated members shall be for a period of two years. The IQAC should meet at least once in every quarter. The quorum for the meeting shall be two-third of the total number of members. The agenda, minutes and Action Taken Reports are to be documented with official signatures and maintained electronically in a retrievable format.

It is necessary for the members of the IQAC to shoulder the responsibilities of generating and promoting awareness in the institution and to devote time for working out the procedural details. While selecting these members several precautions need to be taken. A few of them are listed below:

- It is advisable to choose persons from various backgrounds who have earned respect for integrity and excellence in their teaching and research. Moreover, they should be aware of the ground realities of the institutional environment. They should be known for their commitment to improving the quality of teaching and learning.

- It would be appropriate to choose as senior administrators, persons in charge of institutional services such as library, computer center, estate, student welfare, administration, academic tasks, examination and planning and development.

- The management representative should be a person who is aware of the institution's objectives, limitations and strengths and is committed to its improvement. The local society representatives should be of high social standing and should have made significant contributions to society and in particular to education.

The role of coordinator

The role of the coordinator of the IQAC is crucial in ensuring the effective functioning of all the members. The coordinator of the IQAC may be a senior person with expertise in quality aspects. She/he may be a full-time functionary or, to start with, she/he may be a senior academic /administrator entrusted with the IQAC as an additional responsibility. Secretarial assistance may be facilitated by the administration. It is preferable that the coordinator may have sound knowledge about the computer, its various functions and usage for effective communication.

Operational Features of the IQAC

Quality assurance is a by-product of ongoing efforts to define the objectives of an institution, to have a work plan to achieve them and to specify the checks and balances to evaluate the degree to which each of the tasks is fulfilled. Hence devotion and commitment to improvement rather than mere institutional control is the basis for devising procedures and instruments for assuring quality. The right balance between the health and growth of an institution needs to be struck. The IQAC has to ensure that whatever is done in the institution for "education" is done efficiently and effectively with high standards. In order to do this, the IQAC will have to first establish procedures and modalities to collect data and information on various aspects of institutional functioning.

The coordinator of the IQAC and the secretary will have a major role in implementing these functions. The IQAC may derive major support from the already existing units and mechanisms that contribute to the functions listed above. The operational features and functions discussed so far are broad-based to facilitate institutions towards academic excellence and institutions may adapt them to their specific needs.

Monitoring Mechanism

The institutions need to submit yearly the Annual Quality Assurance Report (AQAR) to NAAC. A functional Internal Quality Assurance Cell (IQAC) and timely submission of Annual Quality Assurance Reports (AQARs) are the Minimum Institutional Requirements (MIR) to volunteer for second, third or subsequent cycle's accreditation. During the institutional visit the NAAC peer teams will interact with the IQACs to know the progress, functioning as well quality sustenance initiatives undertaken by them.

The Annual Quality Assurance Reports (AQAR) may be the part of the Annual Report. The AQAR shall be approved by the statutory bodies of the HEIs (such as Syndicate, Governing Council/Board) for the follow up action for necessary quality enhancement measures.

The Higher Education Institutions (HEI) shall submit the AQAR regularly to NAAC. The IQACs may create its exclusive window on its institutional website and regularly upload/ report on its activities, as well as for hosting the AQAR.

The NAAC Accredited institutions need to submit only the soft copy as word file (.doc/.docx) through e-mail (naac.aqar@gmail.com). The file name needs to be submitted with Track ID of the institution and College Name. For example MHCOGN16601-Samudra Arts and Science College, Taliamegu-Maharashtra.doc or EC_32_A&A_143 dated 3-5-2004-Samudra Arts and Science College, Taliamegu-Maharashtra.doc. The Higher Education Institutions need not submit the printed/hard copy to NAAC. The acknowledgements would be sent to the institutions through e-mail.

The Annual Quality Assurance Report (AQAR) of the IQAC

All NAAC accredited institutions will submit an annual self-reviewed progress report to NAAC, through its IQAC. The report is to detail the tangible results achieved in key areas, specifically identified by the institutional IQAC at the beginning of the academic year. The AQAR will detail the results of the perspective plan worked out by the IQAC. *(Note: The AQAR period would be the Academic Year. For example, July 1, 2012 to June 30, 2013)*

Part – A

1. Details of the Institution

1.1 Name of the Institution

> Shri Vile Parle Kelavani Mandal's Narsee Monjee Institute of Management Studies (Deemed to be University)

1.2 Address Line 1

> V. L. Mehta Road, Vile Parle (W)

Address Line 2

>

City/Town

> Mumbai

State

> Maharashtra

Pin Code

> 400056

Institution e-mail address

> registrar@nmims.edu

Contact Nos.

> 02242355555

Name of the Head of the Institution:

> Dr. Rajan Saxena

Tel. No. with STD Code:

> 02242355502

Mobile: 9833725699

Name of the IQAC Co-ordinator: Dr. Meena Chintamaneni

Mobile: 9920150060

IQAC e-mail address: meena.chintamaneni@nmims.edu

1.3 **NAAC Track ID** *(For ex. MHCOGN 18879)*   13025

1.4 **NAAC Executive Committee No. & Date:**
   (For Example EC/32/A&A/143 dated 3-5-2004.
   This EC no. is available in the right corner- bottom
   of your institution's Accreditation Certificate)

1.5 Website address: www.nmims.edu

Web-link of the AQAR: http://www.nmims.edu/docs/iqac_report-2014-15.pdf

   For ex. http://www.ladykeanecollege.edu.in/AQAR2012-13.doc

1.6 Accreditation Details

Sl. No.	Cycle	Grade	CGPA	Year of Accreditation	Validity Period
1	1st Cycle			2003	3 years
2	2nd Cycle			2006	5 years
3	3rd Cycle				
4	4th Cycle				

1.7 Date of Establishment of IQAC: DD/MM/YYYY   04/06/2005

1.8 AQAR for the year *(for example 2010-11)* | 2014-15

1.9 Details of the previous year's AQAR submitted to NAAC after the latest Assessment and Accreditation by NAAC ((*for example AQAR 2010-11submitted to NAAC on 12-10-2011*)

  i.   AQAR   2012-13   (14/08/2013)
  ii. AQAR   2013-14   (21/08/2014)
  iii. AQAR   2014-15   (19/08/2015)

1.10 Institutional Status

University   State ☐   Central ☐   Deemed ☑   Private ☐

Affiliated College   Yes ☐   No ☐

Constituent College   ☐ Yes   ☐ No

Autonomous college of UGC   Yes ☐   No ☐

Regulatory Agency approved Institution   Yes ☑   No ☐

(eg. AICTE, BCI, MCI, PCI, NCI)

Type of Institution   Co-education ☑   Men ☐   Women ☐

                      Urban ☑   Rural ☐   Tribal ☐

Financial Status   Grant-in-aid ☐   UGC 2(f) ☐   UGC 12B ☐

                      Grant-in-aid + Self Financing ☐   Totally Self-financ ☑

1.11 Type of Faculty/Programme

Arts ☐   Science ☑   Commerce ☑   Law ☑   PEI (Phys Edu) ☐

TEI (Edu) ☐   Engineering ☑   Health Science ☐   Management ☑

Others   (Specify)   Pharmacy, Architecture & Economics

1.12 Name of the Affiliating University *(for the Colleges)*

NA

1.13 Special status conferred by Central/ State Government-- UGC/CSIR/DST/DBT/ICMR etc

Autonomy by State/Central Govt. / University

University with Potential for Excellence   UGC-CPE

DST Star Scheme   UGC-CE

UGC-Special Assistance Programme   DST-FIST

UGC-Innovative PG programmes   Any other *(Specify)*

UGC-COP Programmes

2. IQAC Composition and Activities

2.1 No. of Teachers   4

2.2 No. of Administrative/Technical staff   5

2.3 No. of students   0

2.4 No. of Management representatives   1

2.5 No. of Alumni   0

2. 6 No. of any other stakeholder and
       community representatives   2

2.7 No. of Employers/ Industrialists   2

2.8 No. of other External Experts   [1]

2.9 Total No. of members   [13]

2.10 No. of IQAC meetings held   [2]

2.11 No. of meetings with various stakeholders: No. [2]   Faculty [1]

                 Non-Teaching Staff Students [1]   Alumni [1]   Others [-]

2.12 Has IQAC received any funding from UGC during the year? Yes [ ]   No [√]

        If yes, mention the amount [   ]

2.13 Seminars and Conferences (only quality related)

      (i) No. of Seminars/Conferences/ Workshops/Symposia organized by the IQAC

         Total Nos. [3]   International [ ]   National [2]   State [ ]   Institution [1]
Level

      (ii) Themes   [Higher Education, Healthcare]

2.14 Significant Activities and contributions made by IQAC

> - Suggestions given to hasten the process of international accreditation in SBM
> - New programs to be started in emerging areas
> - More emphasis on soft skills
> - Enhancement in usage of ICT

2.15 Plan of Action by IQAC/Outcome

      The plan of action chalked out by the IQAC in the beginning of the year towards quality

      enhancement and the outcome achieved by the end of the year *

Plan of Action	Achievements
- Dedicated staff coordinator & Asst. Registrar for accreditation	- 1st & 2nd stage of AACSB accreditation completed - AoL designed, faculty profiles

• New programs introduced like ICICI, Integrated B.Tech, B.Sc. (Finance)	compiled
	• In all, 337 students were admitted in the newly added programs
• Extensive use of Learning Management System (LMS)	• Ensured at least 70% usage of LMS in all the Schools

* Attach the Academic Calendar of the year as Annexure.

2.15 Whether the AQAR was placed in statutory body   Yes ☐   No ☑

Management ☑   Syndicate ☐   Any other body ☐

Provide the details of the action taken

AQAR specifying the plan of action for academic year 2014-15 was discussed and deliberated in the managing committee, which would be useful in seeking approvals at the time of execution.

Part – B

Criterion – I

1. Curricular Aspects

1.1 Details about Academic Programmes

Level of the Programme	Number of existing Programmes	Number of programmes added during the year	Number of self-financing programmes	Number of value added / Career Oriented programmes
PhD	6	1	7	
PG	25	2	27	
UG	20	7	27	
PG Diploma	12	4	16	
Advanced Diploma	1	0	1	
Diploma	10	0	10	
Certificate	9	1	10	
Others	2	0	2	
Total	85	15	100	

Interdisciplinary				
Innovative				

1.2   (i) Flexibility of the Curriculum: CBCS/Core/Elective option / Open options
      (ii) Pattern of programmes:

Pattern	Number of programmes
Semester	41
Trimester	19
Annual	7

1.3 Feedback from stakeholders* Alumni √ Parents √ Employers √ Students √
   (On all aspects)

   Mode of feedback   :   Online √   Manual √ Co-operating schools (for PEI)

*Please provide an analysis of the feedback in the Annexure

1.4 Whether there is any revision/update of regulation or syllabi, if yes, mention their salient aspects.

> Yes. Every School has their own Board of Studies twice a year. Board of Studies comprises of industry experts and academicians from institutions of repute.

1.5 Any new Department/Centre introduced during the year. If yes, give details.

> Centre for Incubation and Entrepreneurship was introduced in the School of Commerce.

Criterion – II
2. Teaching, Learning and Evaluation

2.1 Total No. of permanent faculty

Total	Asst. Professors	Associate Professors	Professors	Others
467	308	52	68	39

2.2 No. of permanent faculty with Ph.D.   147

Asst. Professors	Associate Professors	Professors	Others	Total

2.3 No. of Faculty Positions Recruited (R) and Vacant (V) during the year

R	V	R	V	R	V	R	V	R	V
68	49	10	41	8	17	12	7	98	114

2.4 No. of Guest and Visiting faculty and Temporary faculty

148		642		-

2.5 Faculty participation in conferences and symposia:

No. of Faculty	International level	National level	State level
Attended	120	55	
Presented	120	55	
Resource Persons	7	13	

2.6 Innovative processes adopted by the institution in Teaching and Learning:

   i. Focus on Nano medicine Stem Cell & cancer research

   ii. Holistic learning

   iii. Teaching learning process with extensive use of computer. IT skills introduced as a part of the curriculum.

   iv. Innovative Pedagogy which consists of the use of games, case studies, movies, simulation exercises, debates, role play and group discussions.

   v. Use of Black Board ICT technology.

   vi. Development of soft skills through courses on Communication Skills,

   vii. Creative Writing, Motivation, Lateral Thinking and Leadership Skills.

   viii. Unique peer learning and self-learning programme through group work and projects / presentations. Interactive Class Sessions.

   ix. Introduction of Seminars

   x. Introduction of a 5% component of lectures in each course to be preferably taken by industry experts. This seeks to increase the industry- academia interface.

   xi. Organizing a series of guest lectures by eminent industry experts to address the students on various topical issues.

   xii. Compulsory Summer Internship at few programmes at the end of each year for all students and the students required to submit summer internship reports which are assessed.

xiii.   Dean's List: 10% of top students, on the basis of CGPA, from each year / Programme will be placed under Dean's list. They will be awarded certificate for the same.

2.7   Total No. of actual teaching days during this academic year | 235 |

2.8   Examination/ Evaluation Reforms initiated by the Institution (for example: Open Book Examination, Bar Coding, Double Valuation, Photocopy, Online Multiple Choice Questions)

2.9   No. of faculty members involved in curriculum restructuring/revision/syllabus development | 61 |
      as member of Board of Study/Faculty/Curriculum Development workshop

2.10 Average percentage of attendance of students | 96.07% |

2.11 Course/Programme wise distribution of pass percentage: **We follow 4 point Cumulative Grade Point Average System**

Title of the Programme	Total no. of students appeared	Division				
		Distinction %	I %	II %	III %	Pass %
MBA						

2.12 How does IQAC Contribute/Monitor/Evaluate the Teaching & Learning processes:

2.13 Initiatives undertaken towards faculty development

Faculty / Staff Development Programmes	*Number of faculty/Staff benefitted*
Refresher courses	
UGC – Faculty Improvement Programme	
HRD programmes	
Orientation programmes	21
Faculty exchange programme	
Staff training conducted by the university	556

Staff training conducted by other institutions	
Summer / Winter schools, Workshops, etc.	
Others	

2.14 Details of Administrative and Technical staff

Category	Number of Permanent Employees	Number of Vacant Positions	Number of permanent positions filled during the Year	Number of positions filled temporarily
Administrative Staff	470	30	10	-
Technical Staff	116	24	10	-

Criterion – III

3. Research, Consultancy and Extension

3.1 Initiatives of the IQAC in Sensitizing/Promoting Research Climate in the institution

To enhance research, the University is encouraging the faculty members to publish in journals which are listed and with good impact factor in Scopus, ABDC, Pubmed, etc.
The University facilitates and helps the faculty members in filing patents and is also planning to develop a scheme for incentivizing good quality research.

3.2   Details regarding major projects

	Completed	Ongoing	Sanctioned	Submitted
Number	7	11		
Outlay in Rs. Lakhs	21.82 Lakhs	69.14 Lakhs		

3.3   Details regarding minor projects

	Completed	Ongoing	Sanctioned	Submitted
Number	3			
Outlay in Rs. Lakhs	0.55			

3.4   Details on research publications

	International	National	Others
Peer Review Journals	97	12	
Non-Peer Review Journals	7	7	

e-Journals			
Conference proceedings	2		

3.5 Details on Impact factor of publications:

Range ☐   Average 2.5   h-index ☐   Nos. in SCOPUS 57

3.6 Research funds sanctioned and received from various funding agencies, industry and other organisations

Nature of the Project	Duration Year	Name of the funding Agency	Total grant sanctioned	Received
Major projects				
1) Discovery of inhibitors of system Xc Transporter, a novel therapeutic target for glutamate-mediated excitoxicity and epileptogenesis		Department of Biotechnology Neurobiology task force	18,16,000	5,52,000
2) Grant DST Inspire Faculty Grant		DST	3500000	700000
3) Grant CSIR Dr. R. S. Gaud	2014-15	CSIR	12,00,000.00	4,69,193
4) Grant DST	2014-15	SERB	25,75,903.00	11,00,000
5) Grant DST	2014-15	SERB	16,50,000.00	10,00,000
6) Grant DST	2014-15	SERB	22,80,000.00	11,50,000
7) Evaluation of Herbal Formulations in Animal Models of Thrombosis, Hyperlipidemia, Atherosclerosis and Hypertension: Pharmacological and Molecular Studies	2014-15	Madhavbaug (Cardiac Rehabilitation Center), Khopoli	10,00,000.00	5,00,000
8) Charak Project III	2014-15	Charak Pharma Pvt. Ltd.	2,35,520.00	2,35,520
9) Evaluation of BILAGYL, a Herbal Formulation, in Experimental Models of Diarrhea Predominant Irritable Bowel Syndrome (IBS-D).	2014-15	Sandu Pharma	1,39,150.00	55,660
10) Design and Development of Anticancer Agents	2014-15	Godavari Biorefineries Ltd.	2,26,360	50,000
11) Grant INDO-Korean Science & Technology Centre:R.P.	2014-15		4,00,000	4,00,000
12) Grant Farmore Project BSSA	2014-15		1,87,500	1,87,500
13) Grant GOI-DST-Inspire Fellowship PH.D Program(ANIMESH DEVAL)	2014-15	DST	3,00,800	3,00,800
14) Grant GOI-DST-Inspire Fellowship PH.D Program(WENDY)	2014-15	DST	3,94,400	3,94,400
15) Grant GOI-DST-Inspire Fellowship PH.D Program(AKSHAT RAUT)	2014-15	DST	2,69,600	2,69,600
16) Grant-Nanoparticles Intersection with Stem CellsAK	2014-15	DST	26,38,000	12,88,000

17) Research Grant DAE - Prof. Turel	2014-15	DAE	3,95,000	3,95,000
18) Charak Project IV	2014-15	Charak Pharma Pvt. Ltd.	1,01,200	50,000
Total Major Projects			**1,93,09,433**	**90,97,673**
Minor Projects				
1) New Product Development	2014-15	Meridian Enterprises Pvt. Ltd.	7,500	7,500
2) Evaluation of some actives & polyherbal formulations for hair growth promoting activity (Pilot Study)	2014-15	Marico	28,090	28,090
3) The impact of Economic perform on the urban industrial sector A labour market perspective	2014-15	Western Regoin Center ,Indian council of social science research	20,000	20,000
Total Minor Projects			**55,590**	**55,590**
Interdisciplinary Projects				
Industry sponsored				
1) New Product Development	2014-15	Meridian Enterprises Pvt. Ltd.	7,500	7,500
2) Charak Project III	2014-15	Charak Pharma Pvt. Ltd.	2,35,520.00	2,35,520
3) Charak Project IV	2014-15	Charak Pharma Pvt. Ltd.	1,01,200.00	50,000
4) Evaluation of BILAGYL, a Herbal Formulation, in Experimental Models of Diarrhea Predominant Irritable Bowel Syndrome (IBS-D).	2014-15	Sandu Pharma	1,39,150.00	55,660
5) Evaluation of some actives & polyherbal formulations for hair growth promoting activity (Pilot Study)	2014-15	Marico	28,090	28,090
6) Design and Development of Anticancer Agents	2014-15	Godavari Biorefineries Ltd.	2,26,360	50,000
7) Grant Farmore Project BSSA	2014-15		1,87,500	1,87,500
8) Evaluation of Herbal Formulations in Animal Models of Thrombosis, Hyperlipidemia, Atherosclerosis and Hypertension: Pharmacological and Molecular Studies	2014-15	Madhavbaug (Cardiac Rehabilitation Center), Khopoli	10,00,000.00	5,00,000
Total Industry Sponsored			**9,25,320**	**6,14,270**
Projects sponsored by the University/ College				

	Students research projects *(other than compulsory by the University)*				
Any other(Specify)					
1)	Discovery of inhibitors of system Xc Transporter, a novel therapeutic target for glutamate-mediated excitoxicity and epileptogenesis	2014-15	Department of Biotechnology Neurobiology task force	18,16,000.00	5,52,000
2)	Grant Dst Inspire Faculty Grant	2014-15	DST	35,00,000.00	7,00,000
3)	Grant CSIR Dr. R. S. Gaud	2014-15	CSIR	12,00,000.00	4,69,193
4)	Grant DST	2014-15	SERB	25,75,903.00	11,00,000
5)	Grant DST	2014-15	SERB	16,50,000.00	10,00,000
6)	Grant DST	2014-15	SERB	22,80,000.00	11,50,000
7)	The impact of Economic perform on the urban industrial sector A labour market perspective	2014-15	Western Regoin Center, Indian council of social science research	20,000	20,000
8)	Grant INDO-Korean Science & Technology Centre:R.P.	2014-15		4,00,000	4,00,000
9)	Grant GOI-DST-Inspire Fellowship PH.D Program(ANIMESH DEVAL)	2014-15	DST	3,00,800	3,00,800
10)	Grant GOI-DST-Inspire Fellowship PH.D Program(WENDY)	2014-15	DST	3,94,400	3,94,400
11)	Grant GOI-DST-Inspire Fellowship PH.D Program(AKSHAT RAUT)	2014-15	DST	2,69,600	2,69,600
12)	Grant-Nanoparticles Intersection with Stem Cells AK	2014-15	DST	26,38,000	12,88,000
13)	Research Grant DAE - Prof. Turel	2014-15	DAE	3,95,000	3,95,000
Total Others				**1,84,39,703**	**85,38,993**
Grand Total				**1,93,65,023**	**91,53,263**

3.7 No. of books published   i) With ISBN No. $\boxed{8}$ Chapters in Edited Books $\boxed{8}$

ii) Without ISBN No. $\boxed{2}$

3.8 No. of University Departments receiving funds from

UGC-SAP $\boxed{}$ CAS $\boxed{}$ DST-FIST $\boxed{2}$

DPE $\boxed{}$ DBT Scheme/funds $\boxed{}$

3.9 For colleges   Autonomy $\boxed{}$ CPE $\boxed{}$ DBT Star Scheme $\boxed{}$

INSPIRE [1] CE [ ] Any Other (specify) [ ]

3.10 Revenue generated through consultancy | Rs. 698.12 Lakhs |

3.11 No. of conferences organized by the Institution

Level	International	National	State	University	College
Number	3	-	-	14	-
Sponsoring agencies	NMIMS				

3.12 No. of faculty served as experts, chairpersons or resource persons [ ]

3.13 No. of collaborations International [5] National [ ] Any other [ ]

3.14 No. of linkages created during this year [4]

3.15 Total budget for research for current year in lakhs: [44.15]

From Funding agency [NA] From Management of University/College

Total [44.15]

3.16 No. of patents received this year

Type of Patent		Number
National	Applied	8
	Granted	
International	Applied	
	Granted	
Commercialised	Applied	
	Granted	

3.17 No. of research awards / recognitions received by faculty and research fellows of the institute in the year

Total	International	National	State	University	Dist	College
19	1	6	2	10		

3.18 No. of faculty from the Institution who are Ph. D. Guides [47] and students registered under them [155]

3.19 No. of Ph.D. awarded to faculty from the Instituti [09]

3.20 No. of Research scholars receiving the Fellowships (Newly enrolled + existing ones)

JRF [7] SRF [3] Project Fellows [ ] Any other [4]

3.21 No. of students Participated in NSS events:

	University level		State level
	National level	☐	International level	☐

3.22 No. of students participated in NCC events:

	University level	☐	State level	☐
	National level	☐	International level	☐

3.23 No. of Awards won in NSS:

	University level	☐	State level	☐
	National level	☐	International level	☐

3.24 No. of Awards won in NCC:

	University level	☐	State level	☐
	National level	☐	International level	☐

3.25 No. of Extension activities organized

University forum	☐	College forum	☐		
NCC	☐	NSS	☐	Any other	☐

3.26 Major Activities during the year in the sphere of extension activities and Institutional Social Responsibility

-
-

Criterion – IV
4. Infrastructure and Learning Resources

4.1 Details of increase in infrastructure facilities:

Facilities	Existing	Newly created	Source of Fund	Total
Campus area (Sq.ft.)	133019	415617		548636.05
Class rooms	79	60		139
Laboratories	36	-		36
Seminar Halls				
No. of important equipments purchased (≥ 1-0 lakh) during the current year.		20		
Value of the equipment purchased during the year (Rs. in Lakhs)		213.31		
Others				

4.2 Computerization of administration and library

- Database Hub: For accessing subscribed e-resources
- WiFi enabled library
- CCTV installed in library reading room area to monitor the students
- Reprographic facility

	Existing		Newly added		Total	
	No.	Value	No.	Value	No.	Value
Text Books	60,447	288,28,734	1,497	7,06,652	61,944	295,35,386
Reference Books	9,606	180,78,530	1,088	17,40,588	10,694	198,19,119
e-Books	1,14,436	21,99,379	8,680	5,10,000	1,23,116	27,09,379
Journals	267	354,74,213	-38	21,35,188	229	376,09,401
e-Journals	28,840	253,77,352	237	38,29,272	29,077	292,06,624
Digital Database	22	798,34,513	-1	158,71,058	21	957,05,572
CD & Video	1,734	26,23,293	842	46,233	2,576	26,69,526
Others (specify)		10,12,540		41,000		10,53,540

4.4 Technology up gradation (overall)

	Total Computers	Computer Labs	Internet	Browsing Centres	Computer Centres	Office	Depart-ments	Others

Existing	1311	18	1174 mbps	6	2	4	9
Added	1001	1	20 mbps	1	1	-	-
Total	2312	19	1194 mbps	7	3	4	9

4.5 Computer, Internet access, training to teachers and students and any other programme for technology upgradation (Networking, e-Governance etc.)

Excel training, Blackboard (LMS) training & e-database training given to the students

4.6 Amount spent on maintenance in lakhs :

   i) ICT   517.91

   ii) Campus Infrastructure and facilities   5725.85

   iii) Equipments   54.84

   iv) Others   601.57

     Total: 6900.17

Criterion – V

5. Student Support and Progression

5.1 Contribution of IQAC in enhancing awareness about Student Support Services

- Counsellor conducted sessions for awareness
- Placement cell strengthened its team by inducting new members
- Every School formally constituted student body to carry out various activities

5.2 Efforts made by the institution for tracking the progression

- Every School analysed its result and worked in area of weakness. Remedial coaching before re-examination was conducted
- Mentors were appointed

5.3 (a) Total Number of students

UG	PG	Ph. D.	Others
4240	5559	196	680

(b) No. of students outside the state

4544

(c) No. of international students

18

No	%	
7130	66.8	Men

No	%	
3545	33.2	Women

Last Year						This Year					
General	SC	ST	OBC	Physically Challenged	Total	General	SC	ST	OBC	Physically Challenged	Total
9402	6	1	28		9444	10099	77	17	482		10675

Demand ratio   1:24   Dropout %   2%

5.4 Details of student support mechanism for coaching for competitive examinations (If any)

NA

No. of students beneficiaries   NA

5.5 No. of students qualified in these examinations

NET [ ]   SET/SLET [ ]   GATE 26   CAT 530

IAS/IPS etc [ ]   State PSC 12   UPSC 4   Others [ ]

5.6 Details of student counselling and career guidance

NMIMS has an in-house qualified student counsellor who counsels the students on various aspects like, anxiety, confusion, anger management and depression.

No. of students benefitted   66

5.7 Details of campus placement

On campus			Off Campus
Number of Organizations Visited	Number of Students Participated	Number of Students Placed	Number of Students Placed
549	1799	1674	NA

5.8 Details of gender sensitization programmes

Film festival – "Sama Bhav" on gender, masculinity & relationships in collaboration with an NGO MAVA (Men Against Violence & Abuse)
- Award winning filmmaker interacted with the students after the film
- Gauri Sawant, a transgender, leader and a social worker also interacted with the students. Some of the films that were screened were: Playing with fire (on Taliban Women), A Pinch of Skin, Ehsaas, Pehchaan, Keep Talking, Out and Loud, etc.
On 27th June 2015, a talk on 'Crime Against Women' was organized by the University in which Advocate Suresh Kumar Paniker with knowledge of legal rights of women gave a lecture

5.9 Students Activities

5.9.1   No. of students participated in Sports, Games and other events

State/ University level 378   National level 1   International level [ ]

No. of students participated in cultural events

State/ University level 86   National level [ ]   International level [ ]

5.9.2   No. of medals /awards won by students in Sports, Games and other events

Sports: State/ University level [46] National level [ ] International level [ ]

Cultural: State/ University level [18] National level [ ] International level [ ]

5.10 Scholarships and Financial Support

	Number of students	Amount
Financial support from institution	35	19.32 lakhs
Financial support from government	6	2,08,441.50/- per month
Financial support from other sources		
Number of students who received International/ National recognitions		

5.11   Student organised / initiatives

Fairs: State/ University level [ ] National level [1] International level [ ]

Exhibition: State/ University level [1] National level [ ] International level [ ]

5.12   No. of social initiatives undertaken by the students [9]

5.13 Major grievances of students (if any) redressed: NIL

Criterion – VI

6. Governance, Leadership and Management

6.1 State the Vision and Mission of the institution

Our Vision
NMIMS will define the 21st Century Technological, Management and Organisational Research, and educate with a view to impact global developments and cadre of employable individuals.

Our Mission
NMIMS's mission is to provide to the nation, good quality trained human resources who are socially sensitive, have inquisitive minds and the persistence to change their own and organisation's lives, and contribute to making India a knowledge super power and the world a better place to live.

This we seek to achieve through educational excellence, innovative and relevant research, promoting social equality and an outreach program to ensure global access of our learning resources to all students and faculty.

6.2 Does the Institution has a management Information System

Yes.

6.3 Quality improvement strategies adopted by the institution for each of the following:

6.3.1 Curriculum Development

Introduction of New Programmes and courses: NMIMS continues to introduce new programmes and courses across all schools. Few most significant programmes introduced in academic year 2015-16 are Integrated MBA Program for Entrepreneurship & Family Business & Executive MBA in Pharmaceutical Management by SBM, PGDM in Real Estate Construction Management & M.Tech Data Science (Business Analytics) by MPSTME, B.Sc. (Applied Statistics & Analytics) by SDSOS, LL.M Corporate Law by SOL and D Pharm by SPTM. All these programmes are unique and have strong industry inputs.

NMIMS has signed MoU with Axis Bank as industry partner with its core objective to run different graduate and post graduate courses in various fields including Management, Engineering, Science and Technology Data Science, Business Analytics and many financial subjects related to Analytics. AXIS Bank endorses the course in terms of updated curriculum by reviewing the course syllabus by participating in Board of Studies meetings of the University.

6.3.2 Teaching and Learning

NMIMS has always strived towards excellence in the area of education, research and development, which are of vital importance in the journey of achieving its goals. The main objective of such activities is to prepare the cadre of academically superior intellectuals who can not only impart knowledge but also contribute towards creation of knowledge. Faculty members and students of this institution are constantly encouraged to participate in various research activities. There are faculty development activities that support continuous improvement in instructional methods. All faculty members are encouraged to participate in faculty development programs, seminars and conferences to be aware of the modern practices in the profession.

6.3.3 Examination and Evaluation

For setting of question papers for each subject, Question paper committees are formed, each comprising of internal paper setter/s and an external expert who is the Chairperson of the question paper setting committee, to ensure the quality of the question papers of the university examinations

6.3.4 Research and Development

> **Professional Development Fund**
> **Faculty Seminars**
> **Research Partnerships**
> **NMIMS University Seed Funding**

6.3.5 Library, ICT and physical infrastructure / instrumentation

NMIMS-School of Business Management is housed in the new campus which provides an environment conducive to learning with world class amenities and facilities. This campus is located very close to the domestic airport and is close to the business district and corporate houses. The building is a 10-storey building with 60 plus classrooms. One floor is dedicated to the library and learning resource centre with reading rooms. The campus has 3 Computer Labs with 144 Computers. Besides this, there are also conference rooms and houses offices for marketing, placement, examination, academic administration.

This campus is state of the art with the latest technology. This new campus became operational from the academic year 2014-15. This 3,50,000 square feet campus provides the latest learning technology tools and aims to enhance students' learning experience. All classrooms are in semi-circular shape with plenty of room for faculty and student interaction and class discussions. Besides being a Wi-Fi campus, it has facilities for video streaming in each classroom, video conferencing facility on each floor. The classrooms have multiple screens for simultaneous display/screening of instructional material and are friendly for the differently abled. The classrooms also have electronic board facilities and public address systems. Every floor has a student lounge for group activities and team exercises. The air-conditioned campus also provides the students with recreational facilities and a large cafeteria.

NMIMS houses a state-of-the-art Management Development Program (MDP) hall. MDPs for the companies are a regular feature of the institute's interaction with the corporate world. Programs are custom designed to suit the requirements of individual organizations and also retailed.

The well-furnished and air conditioned NMIMS Resource Centre is spread over 15,000 sq.ft. It has a rich collection of books, journals (National/International), audio-visuals, CDs, VCDs, annual reports, management games, newsletters, etc. The centre also houses news clippings, newsletters and case studies.

6.3.6 Human Resource Management

- Online leave management portal was introduced.

- Digitization of HR records including personal files and service books

- Redefined the recruitment process by calling applications through online portal

6.3.7 Faculty and Staff recruitment

A total of 98 faculty members were recruited in the year. And 20 non-teaching staff were also recruited.

6.3.8 Industry Interaction / Collaboration

Industry and academia have long shared a mutually beneficial relationship, and here at NMIMS, we respect and honour that tradition. We have a strong commitment to build durable and long lasting relationships with the corporate world. Be it course curricula tailor-made to meet the growing demand of today's markets, imparting to students real world applications of theoretical concept through faculty from industry or giving students an insight in to the professional world through our internship programme, NMIMS has all ways endeavoured to set the bar for corporate relationship with universities.

We established linkages with the practicing world through the following focused initiatives:

- **Industry Institute Partnership Cell (IIPC Cell)**
- **Alumni Cell**
- **Corporate Relations and Placement Cell**
- **Consulting assignment with the industry**
- **Executive Education**

6.3.9 Admission of Students

NMIMS is recognized at the national level for offering quality management and technical education. NMIMS-SBM maintains high standards for admission.

SBM each year receives around 50,000 applications for its MBA programs for the 700 slots in the incoming class.

- Students come from diverse academic backgrounds such as technology, science, commerce and arts. The candidates speak different regional languages but all are conversant in English.
- In 2015, SBM took the decision to offer 30 % of its capacity to women on the basis of merit. This decision will help SBM to improve its gender balance in the classroom.
- 2014-15 saw GMAC acquiring NMIMS admission test NMAT. This has now made NMAT a global test. It will help NMIMS to attract students from the world market, especially from geographies where the demand for good quality management education is high. The admission selection process has weightage for entrance exam, case discussion and personal interview and consistent academic performance. The panel for the selection process is handpicked form the alumni network, faculty members and industry experts. This ensures a competitive pool of students entering into the MBA program and in turn groomed to be employable in the companies during recruitment process.

6.4 Welfare schemes for

Teaching	3
Non teaching	3
Students	

6.5 Total corpus fund generated

Rs. 5 Crores

6.6 Whether annual financial audit has been done   √ Yes   ☐ No

6.7 Whether Academic and Administrative Audit (AAA) has been done?

Audit Type	External		Internal	
	Yes/No	Agency	Yes/No	Authority
Academic	Yes	A special committee constituted		
Administrative	Yes			

6.8 Does the University/ Autonomous College declares results within 30 days?

For UG Programmes   Yes √   No ☐

For PG Programmes   Yes √   No ☐

6.9 What efforts are made by the University/ Autonomous College for Examination Reforms?

The result processing has been automated through SAP software and human intervention minimised in the said activity. Audit trails have been set up to identify the activity of the people involved in result processing.

6.10 What efforts are made by the University to promote autonomy in the affiliated/constituent colleges?

NA

6.11 Activities and support from the Alumni Association

NMIMS Business School Alumni Association is strong entity with crossing more than 2000 lifetime Member. We take pride in having our alumni working in different array of corporate and Start up and at a very prestigious level.

We have had many events in the year 2014-15 which are as follow:

- 5 chapter meets in Delhi, Chennai, Kolkata and Bangalore.
- 4 coffee meets in the cities like Delhi, Ahmedabad, Pune and Kolkata.
- Grand Alumni Mumbai Meet which was held at Hard Rock Café on 15st November, 2014 where we saw more than 350 alumni sharing and bonding with each other.

This year we saw an increase in the number of applicants for Lead the Way mentorship programme which can be attributed to the growing interest in the alumni circles to mentor the current batch of students and also the students' interest in getting guidance from the industry.

Among other initiatives, "Lend a Hand" scholarships would give an opportunity to help profusely the students with limited financial means to a MBA degree.

We conduct many workshops for First year students and also for Alumni who wants to have their start up and simple wants to enhance their skills and network. We have our foot prints globally also like we had meet in London.

6.12 Activities and support from the Parent – Teacher Association

No formal PTA

6.13 Development programmes for support staff

- Soft Skills & etiquette training
- First aid training

6.14 Initiatives taken by the institution to make the campus eco-friendly

- Plants planted wherever possible
- Medicinal garden of SPPSPTM was developed

Criterion – VII

7. Innovations and Best Practices

7.1 Innovations introduced during this academic year which have created a positive impact on the functioning of the institution. Give details.

- HR – Online leave application
- New verticals introduced
- Experiential learning in terms of delivering some topics through workshops
- Infrastructure has been upgraded to match the global standards

7.2 Provide the Action Taken Report (ATR) based on the plan of action decided upon at the beginning of the year

- The learning management system helped the students understand better. It is a good interface for interacting with the faculty members
- New verticals helped students to select from a new range of courses

7.3 Give two Best Practices of the institution *(please see the format in the NAAC Self-study Manuals)*

- Feedback system
- We Care (social cause within the curriculum)

Provide the details in annexure (annexure need to be numbered as i, ii,iii)

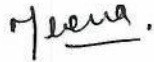7.4 Contribution to environmental awareness / protection

- Chiller Plant
- LEDs

7.5 Whether environmental audit was conducted?   Yes $\sqrt{}$ No ☐

7.6 Any other relevant information the institution wishes to add. (for example SWOT Analysis)

8. Plans of institution for next year

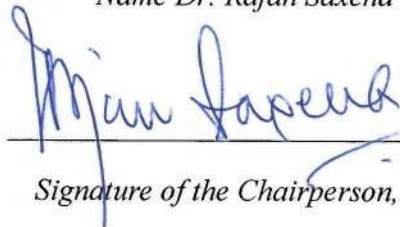- Gender parity in admissions
- Introduction of innovative programs.

Name Dr. Meena Chintamaneni   Name Dr. Rajan Saxena

_____   _____

Signature of the Coordinator, IQAC   Signature of the Chairperson, IQAC

_____***_____

Abbreviations:

CAS	-	Career Advanced Scheme
CAT	-	Common Admission Test
CBCS	-	Choice Based Credit System
CE	-	Centre for Excellence
COP	-	Career Oriented Programme
CPE	-	College with Potential for Excellence
DPE	-	Department with Potential for Excellence
GATE	-	Graduate Aptitude Test
NET	-	National Eligibility Test
PEI	-	Physical Education Institution
SAP	-	Special Assistance Programme
SF	-	Self Financing
SLET	-	State Level Eligibility Test
TEI	-	Teacher Education Institution
UPE	-	University with Potential Excellence
UPSC	-	Union Public Service Commission

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